

CEFEX Certified Firms

Professional Liability Insurance



Premium discounts up to 25% for CEFEX Certified Firms!

NAPLIA offers *Exclusive* premium discounts on professional liability insurance for firms that have earned the CEFEX fiduciary certification.

Program Benefits:

- **Premium Discounts for Fiduciary Certification**
 - CEFEX certified firms are eligible for a discount on professional liability insurance for up to 25%. This discount acknowledges the implementation of the industry's best fiduciary practices in your firm.
- **One-stop Shopping**
 - As an independent agent, NAPLIA represents your best interest. We have relationships with the top "A" rated companies that provide **Professional Liability Insurance, Fiduciary Insurance, and ERISA Bonds** to the financial industry. We understand your business and can negotiate the best policy at the best premium.
- **Entity Coverage**
 - Policies that cover your firm and the advisers who work for you. Most group policies, such as those provided by a Broker-Dealer, only cover the individual Registered Representatives.
- **Coverage for your acts as a Fiduciary**
 - Affirmative coverage for your acts as a fiduciary.
- **Coverage for Products & Services**
 - Many firms want to offer a broad variety of products and services to their clients. A comprehensive professional liability policy will provide coverage for Investment Advisory services including, Life Insurance Agent sales, and other ancillary activities.
- **Portable and Exclusive**
 - A stand-alone professional liability policy is specific to your firm with your own exclusive limits of liability. If you move between Broker-Dealers, expand your product line, or evolve to new services, your professional liability policy moves with you. And, your policy limit is not jeopardized by claims of others.

Coverage Highlights:

- Supplementary payments available for **Disciplinary Proceedings, Subpoena coverage, Expense Reimbursement, and Waiver of deductible**
- Worldwide coverage
- Free 60 day Extended Reporting Period
- ERP Options defined in policy

How to Apply:

North American Professional Liability Insurance Agency, LLC
5 Whittier Street, 4th floor
Framingham, MA 01701
Toll-Free (866) 262-7542
Fax (508) 656-1399
www.naplia.com

This information is provided for illustrative purposes. Only the actual policy form can provide terms, conditions, and provisions of coverage. Availability of coverage is subject to underwriting.